



#4/99  
10/23/99  
B.N.

Attorney Docket No.: 042390.P6888

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

TONIA MORRIS, ET AL.

Application No.: 09/322,321

Filed: May 28, 1999

For: **METHOD AND APPARATUS FOR  
PROGRAMMABLE COLOR BALANCE IN AN  
IMAGE SENSOR**

Assistant Commissioner for Patents  
Attention: **Box Missing Parts**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application mailed June 28, 1999, please find enclosed:


- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
- (3) a copy of the Notification of Missing Requirements.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: August 27, 1999

  
George G. C. Tseng; Reg. No. 41,355

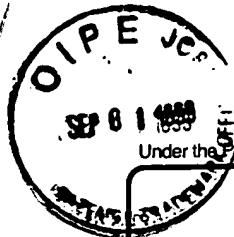
12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: August 27, 1999

  
Nadya Gordon

08/27/99

Date



*Handwritten:* ~~AS~~ Section

PTO/SB/21(10/96)  
Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

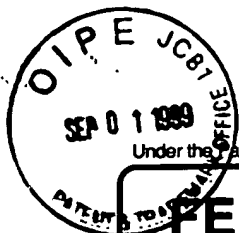
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/322,321	
	<b>Filing Date</b>	May 28, 1999	
	<b>First Named Inventor</b>	Tonia Morris	
	<b>Group Art Unit</b>	2712	
	<b>Examiner Name</b>	Not Assigned	
<b>Total Number of Pages in This Submission</b>	11	<b>Attorney Docket Number</b>	042390.P6888

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div>Declaration &amp; Power of Attorney PTO-1533 Return Postcard</div>
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	George G. C. Tseng; Reg. No. 41,355 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	August 27, 1999

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <b>August 27, 1999</b>			
Typed or printed name	Nadya Gordon		
Signature		Date	08/27/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEE TRANSMITTAL		Complete If Known		
<i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.28 and 1.28.</i>		Application Number	09/322,321	
		Filing Date	05/28/99	
		First Named Inventor	Tonia Morris, et al.	
		Examiner Name	Not Assigned	
		Group Art Unit	2712	
TOTAL AMOUNT OF PAYMENT (\$)		170.00	Attorney Docket Number	042390.P6888

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																								
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 02-2666</p> <p>Deposit Account Name: Blakely, Sokoloff, Taylor &amp; Zafman LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17    <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEE</p> <table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Small Entity Fee Code</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td>130</td></tr><tr><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130</td><td>139 130 Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920</td><td>112 920 Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840</td><td>113 1,840 Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215 55 Extension for response within first month</td><td></td></tr><tr><td>116</td><td>380</td><td>216 190 Extension for response within second month</td><td></td></tr><tr><td>117</td><td>870</td><td>217 435 Extension for response within third month</td><td></td></tr><tr><td>118</td><td>1,360</td><td>218 680 Extension for response within fourth month</td><td></td></tr><tr><td>128</td><td>1,850</td><td>228 925 Extension for response within fifth month</td><td></td></tr><tr><td>119</td><td>300</td><td>219 150 Notice of Appeal</td><td></td></tr><tr><td>120</td><td>300</td><td>220 150 Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>260</td><td>221 130 Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,360</td><td>138 1,360 Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidably</td><td></td></tr><tr><td>141</td><td>1,210</td><td>241 605 Petition to revive - unintentionally</td><td></td></tr><tr><td>142</td><td>1,210</td><td>242 605 Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>430</td><td>243 215 Design issue fee</td><td></td></tr><tr><td>144</td><td>580</td><td>244 290 Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123 50 Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>240</td><td>126 240 Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td>40</td></tr><tr><td>146</td><td>760</td><td>246 380 Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>149</td><td>760</td><td>249 380 For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="4">SUBTOTAL (3) (\$) 170.00</td></tr></tbody></table>	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath	130	127	50	227 25 Surcharge - late provisional filing fee or cover sheet.		139	130	139 130 Non-English specification		147	2,520	147 2,520 For filing a request for reexamination		112	920	112 920 Requesting publication of SIR prior to Examiner action		113	1,840	113 1,840 Requesting publication of SIR after Examiner action		115	110	215 55 Extension for response within first month		116	380	216 190 Extension for response within second month		117	870	217 435 Extension for response within third month		118	1,360	218 680 Extension for response within fourth month		128	1,850	228 925 Extension for response within fifth month		119	300	219 150 Notice of Appeal		120	300	220 150 Filing a brief in support of an appeal		121	260	221 130 Request for oral hearing		138	1,360	138 1,360 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidably		141	1,210	241 605 Petition to revive - unintentionally		142	1,210	242 605 Utility issue fee (or reissue)		143	430	243 215 Design issue fee		144	580	244 290 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Petitions related to provisional applications		126	240	126 240 Submission of Information Disclosure Stmt		581	40	581 40 Recording each patent assignment per property (times number of properties)	40	146	760	246 380 Filing a submission after final rejection (37 CFR 1.129(a))		149	760	249 380 For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (3) (\$) 170.00			
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SUBMITTED BY			Complete (if applicable)	
Typed or Printed Name	George G. C. Tseng; Reg. No. 41,355		Reg. Number	
Signature	Date	8/27/99	Deposit Account User ID	02-2666



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/322,321	05/28/99	MORRIS	T 042390.P6888

0242/0628  
BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP  
12400 WILSHIRE BOULEVARD SEVENTH FLOOR  
LOS ANGELES CA 90025

NOT ASSIGNED

2712  
DATE MAILED: 06/28/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Megana Fields

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

09/03/1999 161724 0000062 09322321 130.00 0P  
01 FC:105